

# Yash Trading and Finance Limited

Corporate office: 1207A, P.J. Towers, Dalal Street, Mumbai - 400001

January 9, 2014

To  
Department of Corporate Services  
BSE Ltd  
P.J. Towers, Dalal Street,  
Fort, Mumbai - 400 001

**Sub : Shareholding Pattern for the quarter ended December 31, 2013.**

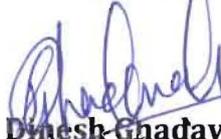
**Scrip code : 512345**

Dear Sir,

With reference to the subject captioned above, please find enclosed herewith the Shareholding Pattern as per clause 35 of the Listing Agreement for the quarter ended 31<sup>st</sup> December, 2013.

We hereby request you to kindly acknowledge the receipt.

**For Yash Trading and Finance Limited**

  
**Dinesh Ghadavale**  
Compliance Officer



## Introductory sub-table (I)(a)

Name of the Company: YASH TRADING AND FINANCE LIMITED

Scrip Code : 512345

Quarter ended: 31-December-2013

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>

Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>

Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>

Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	245000
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**Statement Showing Shareholding Pattern under Clause 35 of the Listing Agreement**

**Table (I)(a)**

Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of(A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group</b>							
<b>1</b>	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	1	175130	175130	71.48	71.48	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)							
	(a) Directors	0	0	0	0.00	0.00	0	0.00
	(b) Director Relatives	0	0	0	0.00	0.00	0	0.00
	(c) Person Acting in Concern	0	0	0	0.00	0.00	0	0.00
	<b>Sub Total(A)(1)</b>	<b>1</b>	<b>175130</b>	<b>175130</b>	<b>71.48</b>	<b>71.48</b>	<b>0</b>	<b>0.00</b>
<b>2</b>	<b>Foreign</b>							
(a)	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(c)	Institutions	0	0	0	0.00	0.00	0	0.00
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	<b>Sub Total(A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	<b>1</b>	<b>175130</b>	<b>175130</b>	<b>71.48</b>	<b>71.48</b>	<b>0</b>	<b>0.00</b>
<b>(B)</b>	<b>Public shareholding</b>							
<b>1</b>	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	N.A	N.A
(b)	Financial Institutions / Banks	0	0	0	0.00	0.00	N.A	N.A
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	N.A	N.A
(d)	Venture Capital Funds	0	0	0	0.00	0.00	N.A	N.A
(e)	Insurance Companies	0	0	0	0.00	0.00	N.A	N.A
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	N.A	N.A
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	N.A	N.A
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	N.A	N.A
(i)	Any Other (specify)	0	0	0	0.00	0.00	N.A	N.A
	<b>Sub-Total (B)(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>N.A</b>	<b>N.A</b>
<b>2</b>	<b>Non-institutions</b>							
(a)	Bodies Corporate	6	31026	31026	12.66	12.66	N.A	N.A
(b)	Individuals							
I	Individual shareholders holding nominal share capital up to Rs 1 lakh	71	37644	30414	15.36	15.36	N.A	N.A
II	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	0	0	0	0.00	0.00	N.A	N.A
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	N.A	N.A
(d)	Any Other (specify)							
	(a) NRI (Repat & Non Repat)	0	0	0	0.00	0.00	N.A	N.A
	(b) Foreign Corporate Bodies	0	0	0	0.00	0.00	N.A	N.A
	(c) Trust	0	0	0	0.00	0.00	N.A	N.A
	(d) Hindu Undivided Family	1	1200	1200	0.49	0.49	N.A	N.A
	(f) Employee	0	0	0	0.00	0.00	N.A	N.A
	(h) Clearing Members	0	0	0	0.00	0.00	N.A	N.A
	<b>Sub-Total (B)(2)</b>	<b>78</b>	<b>69870</b>	<b>62640</b>	<b>28.52</b>	<b>28.52</b>	<b>N.A</b>	<b>N.A</b>
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>78</b>	<b>69870</b>	<b>62640</b>	<b>28.52</b>	<b>28.52</b>	<b>N.A</b>	<b>N.A</b>
	<b>TOTAL (A)+(B)</b>	<b>79</b>	<b>245000</b>	<b>237770</b>	<b>100.00</b>	<b>100.00</b>	<b>N.A</b>	<b>N.A</b>
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
<b>1</b>	<b>Promoter and Promoter Group</b>							
	Promoter and Promoter Group	0	0	0	0.00	0.00	N.A	N.A
<b>2</b>	<b>Public</b>							
	Public	0	0	0	0.00	0.00	N.A	N.A
	<b>Sub-Total (C)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>N.A</b>	<b>N.A</b>
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>79</b>	<b>245000</b>	<b>237770</b>	<b>100.00</b>	<b>100.00</b>	<b>N.A</b>	<b>N.A</b>



Sr.No	Notes
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(l)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A) +(B) +( C )	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a )	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	PRADEEP KUMAR SETHY	175130	71.48	0	0.00	0.00	0	0	0	0	71.48
<b>TOTAL</b>		<b>175130</b>	<b>71.48</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>71.48</b>

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011



**(l)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

Sr. No.	Name of the shareholder	Number of shares held	Shares as a % of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	BCB FINANCE LTD.	29985	12.24	0	0.00	0	0.00	12.24
2	JYOTI PRAKASH JOYPRAKASH	6737	2.74	0	0.00	0	0.00	2.74
	<b>TOTAL</b>	<b>36722</b>	<b>14.98</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>14.98</b>



**(l)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company**

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	BCB FINANCE LTD.	29985	12.24	0	0.00	0	0.00	12.24
<b>TOTAL</b>		<b>29985</b>	<b>12.24</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>12.24</b>



(l)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above}
1	NIL	0	0.00
	<b>TOTAL</b>	<b>0</b>	<b>0.00</b>



(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil	0	0	0.00
	<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0.00</b>



(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	0	0	0.00
	TOTAL		0	0.00

